

Docket No. 255595US3PCT

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takashi SHIMIZU, et al.

SERIAL NO: 10/500,852

GAU:

FILED: July 16, 2004

EXAMINER:

FOR: PLANETARY GEARSET

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. In accordance with discussions on August 4, 2004 with Mr. Nicholas P. Godici, Commissioner for Patents, it is no longer required to submit copies of cited pending applications. A modification of the Rules will be published soon in the Official Gazette. Cited issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 255595US3PCT		SERIAL NO. 10/500,852	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Takashi SHIMIZU, et al.			
				FILING DATE July 16, 2004		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6 223 616	05/01/01	William G. SHERIDAN			
	AB	4 315 440	02/16/82	Siegfried CHSZANIECKI			
	AC	4 136 580	01/30/79	Wilhelm BRAND, et al.			
	AD	3 771 622	11/13/73	Hiroyuki HYAKUMURA			
	AE	6 223 858	05/01/01	Toshikazu UBAGAI, et al.			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
	AO	374 463	06/27/90	EP		NO	
	AP	2 173 486	10/05/73	FR		NO	
	AQ	681 298	10/22/52	GB		NO	
	AR	4-175542	06/23/92	JP(with English abstract)		NO	
	AS	2001-227625	08/24/01	JP(with English abstract)		NO	
	AT	7-217725	08/15/95	JP(with English abstract)		NO	
	AU	5-27413	04/09/93	JP(with partial English translation)		NO	
	AV	2001-182809	07/06/01	JP(with English abstract)		NO	
	AW	6-213306	08/02/94	JP(with English abstract)		NO	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No.: 10/500,852
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STATEMENT OF RELEVANCY

- 1) References AA-AE & AO-AQ have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References _____ have been cited in the corresponding _____ Search Report. A copy of these references is being submitted herewith.
- 3) References AR-AT are discussed in the specification. A copy of these references is being submitted here with.
- 4) References AU-AW are additional prior art known to Applicant. A copy of these references is being submitted herewith.